

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | | |
|--------------|---|-------------|-------------------|
| Applicant : | Steven M. Bloom, Michael S. Spector and John L. Jacobs | Art Unit : | 3693 |
| Serial No. : | 10/001,900 | Examiner : | Chandler, Sara M. |
| Filed : | November 14, 2001 | Conf. No. : | 8995 |
| Title : | BALANCING ARBITRAGABLE TRACKING SECURITIES | | |

Mail Stop Amendment

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT IN REPLY TO ACTION OF JANUARY 18, 2007

Please amend the above-identified application as follows: